

**The Reforms in Capital Market and investors satisfaction -
An analytical study of Retail investors of Nagpur District of Vidarbha**
(U.G.C. Sponsored Minor Research Project)

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Questionnaire

(Please tick ANY ONE ANSWER in all the questions)

Name : _____

City/Town : _____

Age : _____

Gender : Male / Female

Marital Status : Single / Married / Divorcee / Widow / Widower

Qualification : SSC or HSSC / Graduation / Post Graduation / Professional

Occupation : Salaried / Business / Professional / Retired or V.R.S./ Others ____
(pl. specify)

Annual Income : Up to Rs. 2 lakhs / 2,00,001 to 5 lakhs / 5,00,001 to 10 lakhs/Above 10 lakhs.

Annual Savings : Below Rs. 50000 / 50001 to 1.5 lakhs / Above 1.5 lakhs

1. Since how many years are you investing your money in Indian Capital Market?

Below 5 years

5-10 years

11 years & above

2. Have you made good amount of money in the Capital Market?

Yes

No

Can't Say

3. How do you invest your money in the Capital Market?

Primary Market / Secondary Market / Both

4. Whose advice do you take while investing money? Broker / Media / Friend / Own Study

5. What is the holding period of your investment?

Below 12 months

1 year to below 3 years

3 years to 5 years

Above 5 years

6. Are you aware that as per SEBI norms, an investor investing below Rs. 2 lakhs in an IPO is retail investor ?

Yes

No

7. Are you aware that as per SEBI norms, it is usually compulsory for an IPO to get a grading from a rating agency to make an informed decision?

Yes

No

8. Are you aware that SEBI has allowed discount of 5% for retail investors only

Yes

No

9. Are you aware of the ASBA (Applications Supported by Blocked Amount) facility?

Yes

No

10. Do you know that 35% of shares are reserved for retail/small investors in an IPO?

Yes

No

11. Have you lost faith in the capital market after the 2008 stock market crash?

Yes

No

12. Are you aware that rolling settlement ensures that you get the payment in 3 days after sale of shares and get the shares in 3 days in case of purchase of shares?

Yes

No

13. Can the stock market indices fall or rise to any extent at any point of time?

Yes

No

Cant say

14. Are you satisfied with the current set up and overall functioning of the capital market?

Yes

No

Yours Comments / Suggestions:

(Thank You for giving your valuable time)

Note: If unable to hand over immediately, Respondents are requested to send this questionnaire duly filled to the Researcher at the address mentioned above by post and oblige.